

*GLOBAL CONVERSATIONS IN*  
**INTERNATIONAL BUSINESS TRANSACTIONS**  
**DISCUSSION SERIES**



**SPRING 2024**



## WELCOME MESSAGE FROM THE ORGANIZER

"Happy New Year! International business transactions involve so many different issues and topics, ranging from economic considerations to geopolitical risks to reputation management. It is only fitting to invite leaders in a broad range of fields to contribute to the conversation so that we may learn from each other, thereby improving our own research, practice and advocacy. I hope that you find the series insightful and thought-provoking and that we succeed in building a community in this virtual space. Thank you for joining the conversation."





# SCHEDULE

**JANUARY 16** SUPERPOWER LEGAL RIVALRY AND THE GLOBAL COMPLIANCE DILEMMA  
(**Ji Li** | **James Shih** | **Paige Berges**)

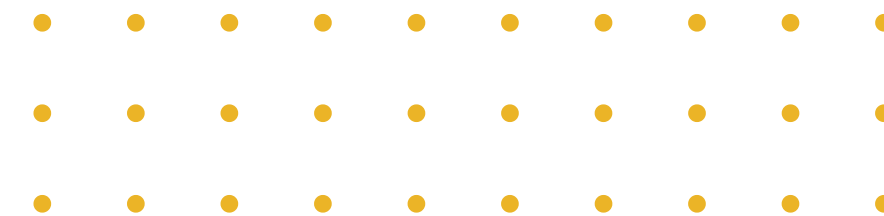
**FEBRUARY 6** PRIVATE GOVERNANCE IN GLOBAL SUPPLY CHAINS  
(**Fabrizio Cafaggi & Trang (Mae) Nguyen** | **Tran Che**)

**FEBRUARY 20** BOOK TALK! “DIGITAL EMPIRES”  
(**Anu Bradford** | **Kish Parella**)

**MARCH 5** MANAGING A GLOBAL CORPORATE REPUTATION IN A FRAGMENTED WORLD  
(**Akshaya Kamalnath & Sergio Alberto Gramitto Ricci** | **Alan Morrison**)

**APRIL 4** NATIONAL SECURITY AND BIG DATA  
(**Paul B. Stephan** | **Stewart A. Baker**)

**MAY 2** ESG REGULATION & THE CHALLENGE OF PRIVATE EQUITY - GOVERNMENTS VS. MARKETS  
(**Maximiliano Marzetti & Marc Moore** | **Hideaki Roy Umetsu**)



# January 16 | Superpower Legal Rivalry and the Global Compliance Dilemma

## PRESENTER

Ji Li, John & Marilyn Long  
Professor of US-China  
Business and Law, UC Irvine  
Law School

Professor Li's teaching and scholarship explores a broad range of topics including Chinese law and politics, international business transactions, contracts, comparative law, and empirical legal studies. His first book, [The Clash of Capitalisms? Chinese Companies in the United States](#) (Cambridge University Press, 2018), explores the adaptation of Chinese companies, including state-owned Chinese companies, to general US institutions and their compliance (or lack of compliance) with US laws governing tax, employment equality, and national security review of foreign investments. Professor Li's new book, [Negotiating Legality: Chinese Companies in the US Legal System](#) (forthcoming, CUP), explores how Chinese investors in the US cultivate internal legal capacity, engage US layers, resolve disputes and litigate in US courts.



## DISCUSSANT

James Shih, Group Vice  
President of Global Projects,  
SEMCORP Advanced  
Materials Group

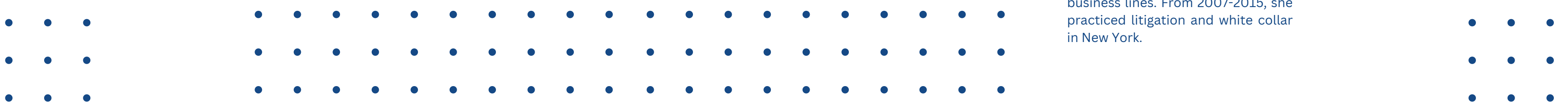
James Shih is the Group Vice President of Global Projects for SEMCORP Advanced Materials Group (stock: 002812.SZ), a Shanghai-headquartered multinational company specializing in materials. SEMCORP is world's leading producer of lithium-ion battery separator and is a key supplier to global battery makers including LG Energy Solutions, CATL and Panasonic. In his current role, Mr. Shih is responsible for SEMCORP's growth strategy in Europe and the Americas and advises the company on legal and policy matters. Prior to SEMCORP, Mr. Shih was a litigator at Sullivan & Cromwell LLP, representing multinational corporations and financial institutions in government enforcement matters.



## DISCUSSANT

Paige Berges,  
Counsel  
Ropes & Gray

Paige Berges is counsel in Ropes and Gray's Anti-Corruption and International Risk group. She is a U.S. and UK qualified lawyer with broad cross-border experience conducting internal investigations, enforcement and defence. She focuses on advising clients with pre-investment due diligence and post-investment monitoring to ensure compliance with Economic Sanctions, Anti-Money Laundering/Counter-terrorist financing, and Anti-Corruption regulations. In addition to U.S., UK and pan-European practice, Ms. Berges brings significant experience helping clients build compliance programmes across Sub-Saharan Africa, Southeast Asia, and the MENA region. Prior to joining Ropes & Gray, she worked at CDC Group Plc, the UK's Development Finance Institution, and Barclays Investment Bank, where she was responsible for financial crime compliance and sanctions across business lines. From 2007-2015, she practiced litigation and white collar in New York.



# February 6 | Private Governance in Global Supply Chains

## PRESENTER

Fabrizio Cafaggi  
Member

Italian Council of State,  
(Supreme Administrative Court)



Fabrizio Cafaggi is a member of the Italian Council of State (Supreme administrative court) and has held positions as a Professor at the Italian School of National Administration (SNA), at the University of Trento, and at the European University Institute, where he was the Co-Director of the Centre for Judicial Cooperation. He has taught in the United States at Columbia Law School, NYU Law School, and University of Pennsylvania Law School. He is a founding member of the European Law Institute and a member of the American Law Institute. He is co-coordinator of the Covid19 Litigation Project, under the leadership of the University of Trento and co-financed by the World Health Organization. He has collaborated with UNIDROIT and UNCITRAL on the theme of contracts and global value chains. He is the co-coordinator of a Unidroit working group on collaborative contracts in agrifood supply chains.

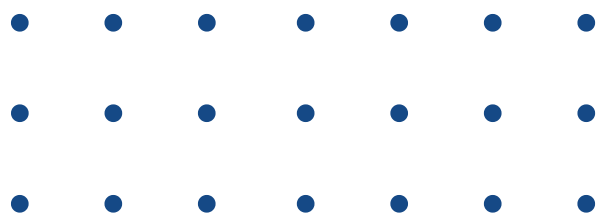


## PRESENTER

Trang (Mae) Ngyuen  
Associate Professor of Law,  
Temple University  
Beasley School of Law



Trang (Mae) Ngyuen is Associate Professor of Law at Temple University Beasley School of Law. She is also an Affiliated Scholar at the U.S. - Asia Law Institute, New York University School of Law and a Stephen M. Kellen Term Member of the Council on Foreign Relations. Prior to joining Temple Law School, she served as the John N. Hazard Fellow at NYU Law and a visiting scholar at UC Berkeley's Center for the Study of Law and Society. Professor Nguyen's research and teaching expertise are in the areas of business law, contracts, transnational law, and international law. Her work has appeared or is forthcoming in the *Harvard International Law Journal*, the *American Journal of International Law Unbound*, the *Stanford Law and Policy Review*, the *Harvard Human Rights Journal*, and the *New York University Law Review*, among others.



## DISCUSSANT

Tran Che, Executive Human  
Rights and Sustainability  
Leader,  
GE Vernova



Tran Che is the Executive Human Rights and Sustainability Leader at GE Vernova. As a member of the Sustainability team, she leads the company's human rights program where she develops policy and training, conducts risk assessments and due diligence, supports the oversight of the ethical supply chain audit program, and presents to senior leadership including the Board of Directors. She was recognized in 2020 by the UN Global Compact Network USA as a Sustainable Development Goal Pioneer Finalist for her work in furthering the UN's Sustainable Development Goals. Ms. Che previously served as the Global Human Rights Counsel for GE Company. Prior to her human rights role, Tran was the Chief Compliance Officer of GE's Onshore Wind, Offshore Wind, and Digital Services businesses and managed a global team conducting third party risk and compliance reviews for GE focusing on anti-bribery and corruption, anti-money laundering, sanctions, and human rights.



# February 20 | Book Talk! “Digital Empires”

## PRESENTER

**Anu Bradford,**  
***Henry L. Moses***  
***Professor of Law and***  
***International Organization,***  
***Columbia Law School***

Anu Bradford is Henry L. Moses Professor of Law and International Organizations at Columbia Law School. She is also a Director for Columbia’s European Legal Studies Center and a Senior Scholar at Jerome A. Chazen Institute for Global Business at Columbia Business School. She is an expert on European Union Law, Digital Regulation, International Trade Law, and Comparative and International Antitrust Law. Bradford is the Author of [“The Brussels Effect: How the European Union Rules the World \(OUP 2020\)”](#), Which was named one of the best books of 2020 by Foreign Affairs. Her most recent book [“Digital Empires: The Global Battle to Regulate Technology”](#) was published by Oxford University Press in September 2023, and was recognized as one of the best books of 2023 by *Financial Times*.



## DISCUSSANT

**Kish Parella,**  
***Class of 1960 Professor of***  
***Ethics and Law,***  
***Washington and Lee***  
***University School of Law***

Kish Parella is the Class of 1960 Professor of Ethics and Law at Washington and Lee University School of Law. She is an International Research Fellow at the Oxford University Centre for Corporate Reputation, Said Business School, “Oxford University and she also serves on the Board of Directors for Corporate Accountability Lab. Prior to entering the legal academy, she practiced international arbitration and transnational litigation at Cleary Gottlieb Steen & Hamilton LLP. Her research focuses on the intersection between corporate governance and international law and explores how non-state actors encourage corporations and other business enterprises to comply with international law, including an examination of their incentives, methods, and effectiveness.



# March 5 | Managing a Global Corporate Reputation in a Fragmented World

## PRESENTER

[Akshaya Kamalnath](#)  
*Associate Professor,  
The ANU College of Law;  
Acting LLM Director*



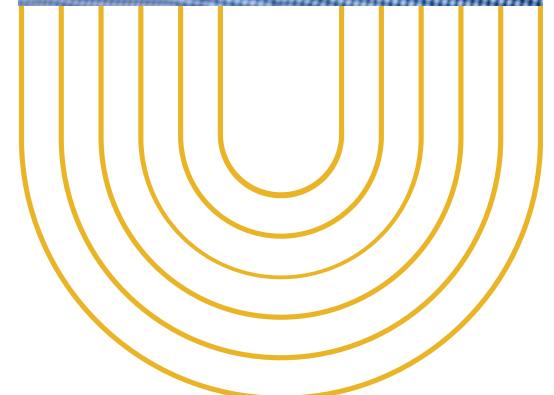
## PRESENTER

[Sergio Alberto Gramitto Ricci](#),  
*Associate Professor of Law,  
UMKC School of Law*



## DISCUSSANT

[Alan Morrison](#)  
*Professor of Law and Finance  
Oxford Saïd School  
Oxford University*



Professor Sergio Alberto Gramitto Ricci specializes in corporate law, with an emphasis on corporate governance, corporate law & technology, and legal personhood. His main strand of research investigates how models of share ownership and the corporate governance infrastructure determine the ability of the everyday person to participate in the corporate sector. He has been investigating private ordering solutions as well as the opportunities arising from the fintech-driven resurgence of retail investors as a market game-changer.

Professor Gramitto Ricci's scholarship on the everyday person's access to corporate equity ownership appears in several law journals including the *Boston University Law Review*, the *Washington University Law Review*, and the *Berle issue* of the *Seattle University Law Review*. He also co-authored the book *Citizen Capitalism: How a Universal Fund Can Provide Influence and Income to All*.

Professor Morrison is a former banker with areas of expertise in bank regulation, investment banking, bank supervision and corporate governance. His work is concerned with two aspects of the financial sector. First, he studies the regulation of banks and its effects upon real economic activity; second, he is interested in the institutions that support the financial system and the way that they are altered by legal and technological changes. He is co-author, with William J. Wilhelm, Jr., of *Investment Banking: Institutions, Politics, and Law*. He publishes frequently in leading journals, including the *American Economic Review*, the *Journal of Finance*, the *Journal of Financial Economics* and the *Review of Finance*. Professor Morrison is an Associate Member of the Oxford Man Institute of Quantitative Finance. He is also a Research Fellow at the Centre for Economic Policy Research, London. He has served as specialist advisor to the House of Lords Economic Affairs Committee and as a consultant to the World Bank and has been a visiting scholar at the Federal Reserve Bank of New York.



# April 4 | National Security and Big Data

## PRESENTER

Paul B. Stephan

John C. Jeffries, Jr.

Distinguished Professor of Law,

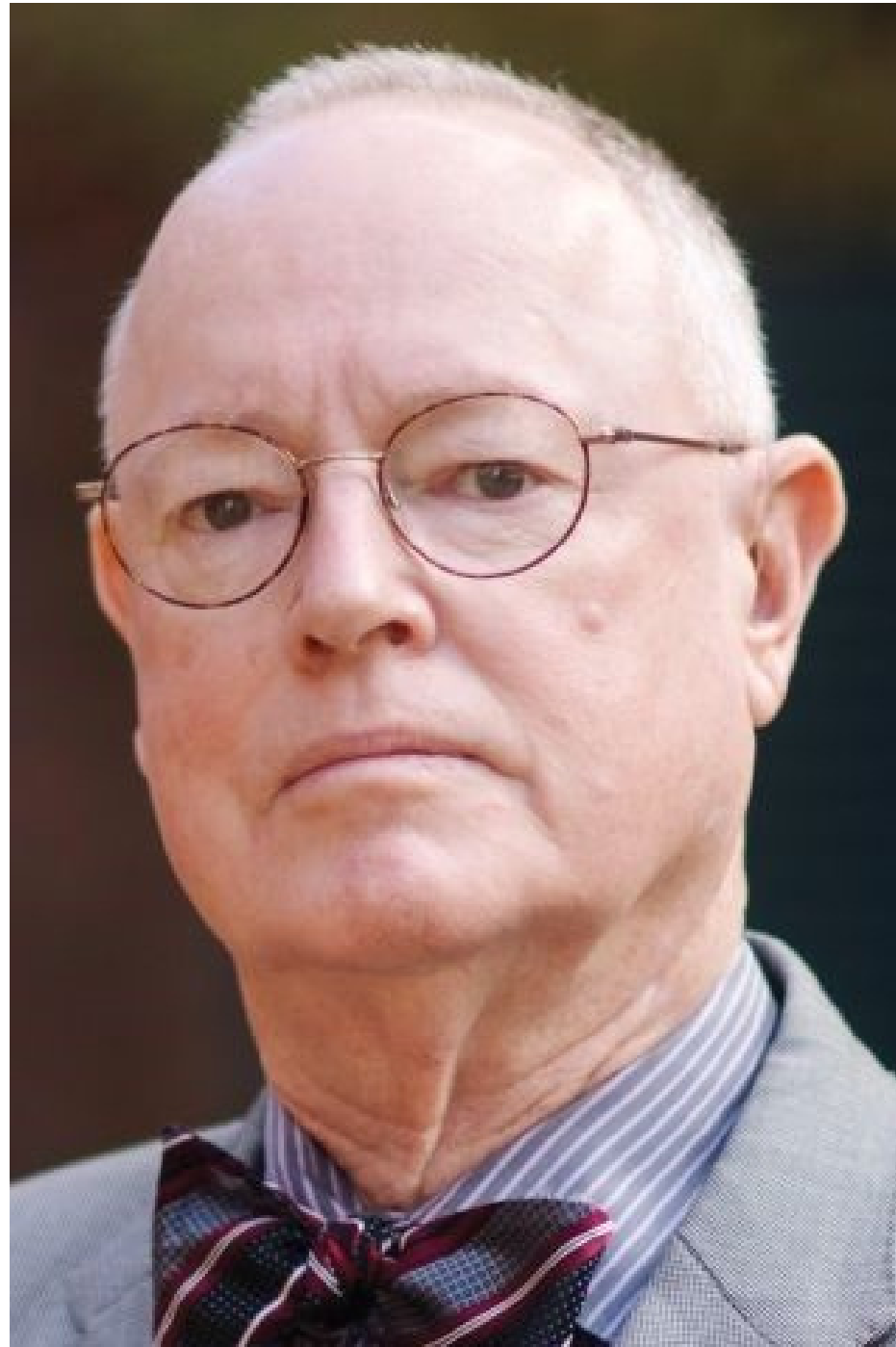
David H. Ibbeken '71 Research

Professor of Law,

Senior Fellow, Miller Center,

UVA School of Law

Paul B. Stephan is an expert on international business, international dispute resolution and comparative law, with an emphasis on Soviet and post-Soviet legal systems. During 2006-07, he served as counselor on international law in the U.S. Department of State, and in 2020-21 as special counsel to the general counsel in the Department of Defense. He was a coordinating reporter for the American Law Institute's Restatement (Fourth) of the Foreign Relations Law of the United States. He is the co-author, with Robert Scott, of *The Limits of Leviathan: Contract Theory and the Enforcement of International Law* (Cambridge University Press, 2006), and the author of *The World Crisis and International Law: The Knowledge Economy and the Battle for the Future* (2023). His current research focuses on the legal issues related to the Russian invasion of Ukraine and legal responses to the rise of big data.



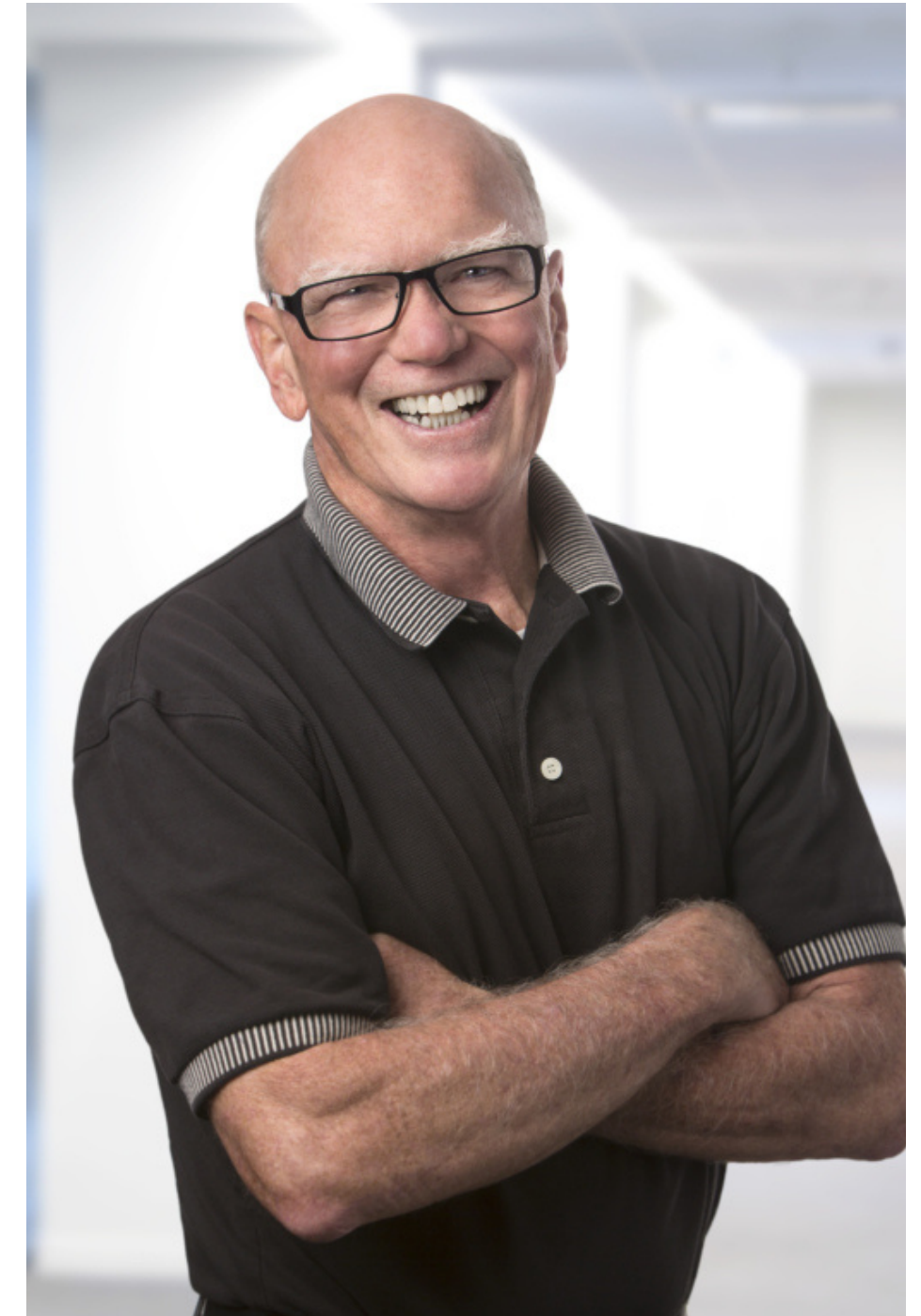
## DISCUSSANT

Stewart A. Baker

Of Counsel

Step toe LLP

Stewart Baker's career has spanned national security and law. He served as General Counsel of the National Security Agency, Assistant Secretary for Policy at the Department of Homeland Security, and drafter of a report reforming the intelligence community after the Iraq War. His legal practice focuses on cyber security, CFIUS, export controls, government procurement, and immigration and regulation of international travel.



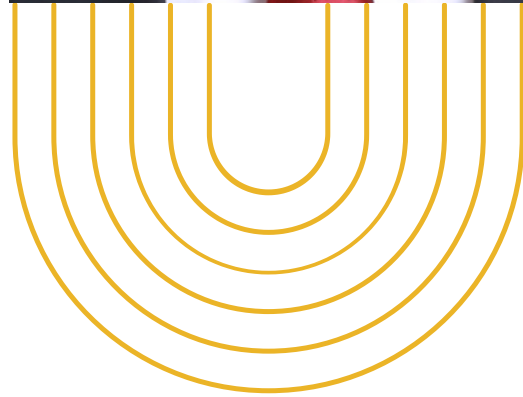
# May 2 | ESG Regulation & the Challenge of Private Equity - Governments vs. Markets

## PRESENTER

Maximiliano Marzetti,  
Assistant Professor,  
IESEG  
School of Management



Maximiliano Marzetti is an Assistant Professor of Law and Head of the Law Track at the IESEG School of Management in France. He obtained a Law Degree from the Catholic University of Argentina, a Master of Laws in Intellectual Property from Turin University, a European Master in Law & Economics from Hamburg, and Bologna Universities, a Graduate Diploma in Arbitration Law from Montpellier University, and a PhD from Erasmus Rotterdam University. His research interests include comparative and international intellectual property law, data protection, transnational contract law, and dispute resolution. Before joining academia, he practiced law in Latin America. He is fluent in English, Spanish, Italian, French and Portuguese.



## PRESENTER

Marc Moore  
Professor of Law  
Chair in Corporate/Financial Law  
UCL Faculty of Laws,  
University College London



Professor Marc Moore is the holder of the Chair in Corporate/Financial Law at the University College London Faculty of Laws, and a Global Distinguished Professor of Law at the University of Notre Dame (USA) in England. Professor Moore previously worked at the University of Cambridge and the University of Bristol, and has held visiting teaching and/or research positions at the University of Auckland, the University of Sydney, and Seattle University. His published works include [Corporate Governance in the Shadow of the State \(2013, Hart Publishing\)](#), [Corporate Governance: Law, Regulation and Theory \(2017, Palgrave\)](#), and [A Research Agenda for Corporate Law \(2023, Edward Elgar\)](#). Professor Moore is currently working on a new book, "The Persistence of Private Equity: Foundations, Success, Opportunities and Challenges," which is due for publication (with Elgar) in 2025.

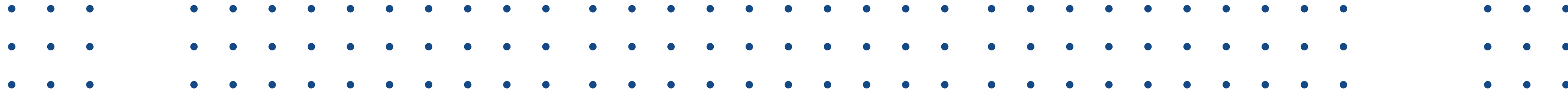


## DISCUSSANT

Hideaki Roy Umetsu,  
Partner,  
Mori Hamada &  
Matsumoto



Roy Umetsu focuses on international M&A transactions, global compliance matters, including anti-corruption compliance, global compliance program and investigations. He also advised a number of clients on export controls and other trade-related matters as well as business and human rights matters. He served as a national expert for UNDP's Business and Human Rights Academy in Japan. He was admitted to the bars in Japan and New York. Umetsu was educated at the University of Tokyo (LL.B.) and the University of Chicago Law School (LL.M.). From 2006 to 2007, he was seconded to the Ministry of Economy, Trade and Industry of Japan, and he also worked at Davis Polk & Wardwell from 2009 to 2010. He serves as Co-Chair of Asia Pacific Regional Forum of the International Bar Association from 2021-2022.



**THANK YOU TO THE PRESENTERS AND  
DISCUSSANTS FOR SHARING THEIR  
EXPERTISE.**

